

# Ranks and Cranks of Partitions

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# Outline

- 1 Introduction
  - q-Series
  - Partitions
- 2 Cranks
  - Cranks in Ramanujan's Lost Notebook
  - Crank 0 partitions
- 3 The  $spt$ -function
  - Introduction and Properties
  - $spt$ -Crank
  - Andrews-Dyson-Rhoades conjecture

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This satisfies the well-known Jacobi's triple product identity, [6], [11]

$$f(a, b) = (-a; ab)_\infty (-b; ab)_\infty (ab; ab)_\infty.$$

# What is a partition?

## Definition (Partition Function)

If  $n$  is a positive integer, let  $p(n)$  denote the number of unrestricted representations of  $n$  as a sum of positive integers, where representations with different orders of the same summands are not regarded as distinct. We call  $p(n)$  the partition function.

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For example,  $p(4) = 5$ , because there are 5 ways to represent 4 as a sum of positive integers, namely,

$$4 = 3 + 1 = 2 + 2 = 1 + 1 + 1 + 1 = 2 + 1 + 1.$$



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Proofs of the above can be found in [6].

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Let  $N(m, t, n)$  denote the number of partitions of  $n$  with rank congruent to  $m$  modulo  $t$ . Then Dyson conjectured that

$$N(k, 5, 5n + 4) = \frac{p(5n + 4)}{5}, \quad 0 \leq k \leq 4,$$

and

$$N(k, 7, 7n + 5) = \frac{p(7n + 5)}{7}, \quad 0 \leq k \leq 6,$$

which yield combinatorial interpretations of (1) and (2).

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$$\sum_{m=-\infty}^{\infty} \sum_{n=0}^{\infty} N(m, n) a^m q^n = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(aq; q)_n (q/a; q)_n}$$

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However, the corresponding analogue of the rank doesn't hold for (3), and so Dyson conjectured the existence of another statistic which he called *crank*.

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Let  $\mathcal{P}$  denote the set of partitions and  $\mathcal{D}$  denote the set of partitions into distinct parts. Following Garvan, [16] we denote the set of vector partitions  $V$  to be defined by

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For  $\vec{\pi} = (\pi_1, \pi_2, \pi_3) \in V$ , we define the weight  $\omega(\vec{\pi}) = (-1)^{\#(\pi_1)}$ , the crank  $\text{crank}(\vec{\pi}) = \#(\pi_2) - \#(\pi_3)$ , and  $|\vec{\pi}| = |\pi_1| + |\pi_2| + |\pi_3|$ , where  $|\pi|$  is the sum of the parts of  $\pi$  and  $\#(\pi_i)$  is the number of parts of  $\pi_i$ .

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The number of vector partitions of  $n$  with crank  $m$  counted according to the weight  $\omega$  is denoted by

$$N_V(m, n) = \sum_{\vec{\pi} \in V, |\vec{\pi}|=n, \text{crank}(\vec{\pi})=m} \omega(\vec{\pi}).$$

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We then have

$$\sum_m N_V(m, n) = p(n).$$

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Theorem (Garvan, [16])

$$N_V(k, 5, 5n + 4) = \frac{p(5n + 4)}{5}, \quad 0 \leq k \leq 4,$$

$$N_V(k, 7, 7n + 5) = \frac{p(7n + 5)}{7}, \quad 0 \leq k \leq 6,$$

$$N_V(k, 11, 11n + 6) = \frac{p(11n + 6)}{11}, \quad 0 \leq k \leq 10.$$

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## Definition (Crank)

For a partition  $\pi$ , let  $\lambda(\pi)$  denote the largest part of  $\pi$ , let  $\mu(\pi)$  denote the number of ones in  $\pi$ , and let  $\nu(\pi)$  denote the number of parts of  $\pi$  larger than  $\mu(\pi)$ . The crank  $c(\pi)$  is then defined to be

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$$c(\pi) = \begin{cases} \lambda(\pi) & \text{if } \mu(\pi) = 0, \\ \nu(\pi) - \mu(\pi) & \text{if } \mu(\pi) > 0. \end{cases}$$



# Crank of a partition

Let  $M(m, n)$  denote the number of partitions of  $n$  with crank  $m$ , and let  $M(m, t, n)$  denote the number of partitions of  $n$  with crank congruent to  $m$  modulo  $t$ .

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For  $n \leq 1$  we set  $M(0, 0) = 1$ ,  $M(m, 0) = 0$ , otherwise  $M(0, 1) = -1$ ,  $M(1, 1) = M(-1, 1) = 1$  and  $M(m, 1) = 0$  otherwise.

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The crank not only leads to combinatorial interpretations of (1) and (2), but also of (3).

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An excellent introduction to cranks is given by Garvan in [17].



# Ramanujan and cranks

In page 179 of [19], we find the generating function for cranks (4) in the form

$$F(q) := F_a(q) := \frac{(q; q)_\infty}{(aq; q)_\infty (q/a; q)_\infty} =: \sum_{n=0}^{\infty} \lambda_n q^n.$$

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$$\lambda_n = \sum_{m=-\infty}^{\infty} M(m, n) a^m.$$

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$$\lambda_n = \sum_{m=-\infty}^{\infty} M(m, n) a^m.$$

We note that if  $a = 1$  in the above, then it reduces to the generating function of  $p(n)$ .

# Dissections

## Definition (Dissections)

If

$$P(q) := \sum_{n=0}^{\infty} a_n q^n$$

is any power series, then the  $m$ -dissection of  $P(q)$  is given by

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In his lost notebook [19] Ramanujan offers, in various guises,  $m$ -dissections for  $F_a(q)$  for  $m = 2, 3, 5, 7, 11$ . In particular on page 179 Ramanujan offers 2- and 3- dissections for  $F_q(q)$  in the form of congruences.

## 2-dissection

### Theorem (2-dissection)

$$F_a(\sqrt{q}) \equiv \frac{f(-q^3; -q^5)}{(-q^2; q^2)_\infty} + \left(a - 1 + \frac{1}{a}\right) \sqrt{q} \frac{f(-q, -q^7)}{(-q^2; q^2)_\infty} \pmod{a^2 + \frac{1}{a^2}}. \quad (5)$$

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We note that  $\lambda_2 = a^2 + a^{-2}$ , which trivially implies that  $a^4 \equiv -1 \pmod{\lambda_2}$  and  $a^8 \equiv 1 \pmod{\lambda_2}$ . Thus, in (5)  $a$  behaves like a primitive 8th root of unity modulo  $\lambda_2$ .



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Thus, if we let  $a = \exp(2\pi i/8)$  and replace  $q$  by  $q^2$  in the definition of a dissection, (5) will give the 2-dissection of  $F_a(q)$ .

## 3-dissection

## Theorem (3-dissection)

$$F_q(q^{1/3}) \equiv \frac{f(-q^2, -q^7)f(-q^4, -q^5)}{(q^9; q^9)_\infty}$$

$$+(a-1+1/a)q^{1/3} \frac{f(-q, -q^8)f(-q^4, -q^5)}{(q^9; q^9)_\infty}$$

$$+(a^2 + \frac{1}{a^2})q^{2/3} \frac{f(-q, -q^8)f(-q^2, -q^7)}{(q^9; q^9)_\infty} \pmod{a^3 + 1 + \frac{1}{a^3}}. (6)$$

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While if we let  $a = \exp(2\pi i/9)$  and replace  $q$  by  $q^3$  in the definition of a dissection, (6) will give the 3-dissection of  $F_a(q)$ .

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## Theorem (5-dissection)

$$F_a(q) = \frac{f(-q^2, -q^3)}{f^2(-q, -q^4)} f^2(-q^5) -$$

$$4\cos^2(2n\pi/5)q^{1/5} \frac{f^2(-q^5)}{f(-q, -q^4)} + 2\cos(4n\pi/5)q^{2/5} \frac{f^2(-q^5)}{f(-q^2, -q^3)}$$

$$- 2\cos(2n\pi/5)q^{3/5} \frac{f(-q, -q^4)}{f^2(-q^2, -q^3)} f^2(-q^5). (7)$$

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$$- 2\cos(2n\pi/5)q^{3/5} \frac{f(-q, -q^4)}{f^2(-q^2, -q^3)} f^2(-q^5). \quad (7)$$

We observe that (7) has no term with  $q^{4/5}$ , which is a reflection of (1).

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## Theorem (5-dissection)

$$F_a(q) = \frac{f(-q^2, -q^3)}{f^2(-q, -q^4)} f^2(-q^5) -$$

$$4\cos^2(2n\pi/5)q^{1/5} \frac{f^2(-q^5)}{f(-q, -q^4)} + 2\cos(4n\pi/5)q^{2/5} \frac{f^2(-q^5)}{f(-q^2, -q^3)}$$

$$- 2\cos(2n\pi/5)q^{3/5} \frac{f(-q, -q^4)}{f^2(-q^2, -q^3)} f^2(-q^5). \quad (7)$$

We observe that (7) has no term with  $q^{4/5}$ , which is a reflection of (1).

In fact, one can replace (7) by a congruence and in turn (5) and (6) by equalities. This is done in [8].



## Other dissections

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Uniform proofs of these dissections and the others already stated earlier are given in [8].

## Other results

In [19], Ramanujan also recorded various other results related to cranks.

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Ramanujan also recorded the first 21 coefficients in the power series of  $F_a(q)$  in page 58.

## The final problem

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It is therefore, very likely that the final problem on which Ramanujan worked was cranks, although it is very unlikely that Ramanujan thought about the combinatorial aspects of cranks.

# Generalizations of Ramanujan's Partition Congruences

The Ramanujan partition functions (1), (2) and (3) have been a long standing subject of study.

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Recent work by Kaavya [18] has shared some light on new means of attacking the problem for determining when  $p(n) \equiv 0 \pmod{2}$ .

## Crank 0 partitions and $p(n)$

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Hence it follows that the crank 0 partitions of  $n$  have the same parity as  $p(n)$  itself. Since such partitions are less in number than  $p(n)$  so it may be easier to investigate the parity of  $p_0(n)$  than  $p(n)$ . Now the question comes how to make a crank 0 partition?

# Ferrers Diagram

A crank 0 partition is made by building a Ferrers diagram on something called a  $k$ -root.

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# Generating function of $p_0(n)$

We denote the number of crank  $m$  partitions of  $n$  which are built on  $k$ -roots as  $p_m^k(n)$ .

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We have

$$p_0(n) = p_0^1(n) + p_0^2(n) + p_0^3(n) + \cdots .$$

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We have

$$p_0(n) = p_0^1(n) + p_0^2(n) + p_0^3(n) + \dots$$

For each  $k$  we note that the terms in the generating function of  $p_0^k(n)$  has one-one correspondence with the Ferrers diagram for crank 0 partitions built up from the  $k$ -root.



In fact the technique is used to get the following

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### Theorem (Kaavya [18])

*The generating function for  $p_0(n)$  is given by*

$$\sum_{n=1}^{\infty} p_0(n)q^n = (1 - q) \sum_{k=1}^{\infty} \frac{q^{k^2+2k}}{(q; q)_k^2}.$$

# Outline

- 1 Introduction
  - $q$ -Series
  - Partitions
- 2 Cranks
  - Cranks in Ramanujan's Lost Notebook
  - Crank 0 partitions
- 3 The  $spt$ -function
  - Introduction and Properties
  - $spt$ -Cranks
  - Andrews-Dyson-Rhoades conjecture

# Weighted partitions

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**Theorem (Fokkink, Fokkink and Wang, [15])**

*If  $\mathcal{D}_n$  denotes the set of partitions  $\pi$  of  $n$  into distinct parts, then*

$$- \sum_{\pi \in \mathcal{D}_n} (-1)^{\#(\pi)} \sigma(\pi) = d(n),$$

*where  $\#(\pi)$  is the number of parts of  $\pi$ ,  $\sigma(\pi)$  is the smallest part of  $\pi$  and  $d(n)$  is the number of divisors of  $n$ .*

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In [1], Andrews also found the generating function for the  $\text{spt}$ -function.

# Generating function for the spt-function

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## Theorem

$$\sum_{n \geq 1} \text{spt}(n)q^n = \frac{1}{(q; q)_\infty} \sum_{n=1}^{\infty} \frac{nq^n}{1 - q^n} + \frac{1}{(q; q)_\infty} \sum_{n=1}^{\infty} \frac{(-1)^n q^{n(3n+1)/2} (1 + q^n)}{(1 - q^n)^2}.$$

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$$S := \{ \vec{\pi} = (\pi_1, \pi_2, \pi_3) \in V : 1 \leq s(\pi_1) < \infty \text{ and } s(\pi_1) \leq \min(s(\pi_2), s(\pi_3)) \}$$

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For  $\vec{\pi} \in S$ , we define the weight  $\omega_1$  by  $\omega_1(\vec{\pi}) = (-1)^{\#(\pi_1)-1}$ . The number of vector partitions of  $n$  in  $S$  with crank  $m$  counted according to the weight  $\omega_1$  is denoted by  $N_S(m, n)$ .

# $spt$ -Crank

We can prove that  $N_S(m, n) = N_S(-m, n)$  and using a similar notation we have  $N_S(m, t, n) = N_S(t - m, t, n)$ .

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Theorem (Andrews, Garvan and Liang, [4])

$$N_S(k, 5, 5n + 4) = \frac{spt(5n + 4)}{5}, \quad 0 \leq k \leq 4,$$

$$N_S(k, 7, 7n + 5) = \frac{spt(7n + 5)}{7}, \quad 0 \leq k \leq 6.$$

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**Theorem (Andrews, Garvan and Liang, [4])**

$$N_S(k, 5, 5n + 4) = \frac{spt(5n + 4)}{5}, \quad 0 \leq k \leq 4,$$

$$N_S(k, 7, 7n + 5) = \frac{spt(7n + 5)}{7}, \quad 0 \leq k \leq 6.$$

This explained the first two congruences of the spt-function. It remains an open question to find a result like the above for the third congruence.

# $\text{spt}$ -Crank

In [17], it was proven that  $N_V(m, n) \geq 0$ .



# $spt$ -Crank

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We also have the following remarkable result

Theorem (Andrews, Garvan and Liang, [4])

$$N_S(m, n) \geq 0$$

for all  $(m, n)$ .

# Andrews-Dyson-Rhoades Conjecture

In a recent paper, [3] Andrews, Dyson and R. C. Rhoades have given the following conjecture on the basis of numerical data.

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## Conjecture

*Andrews-Dyson-Rhoades Conjecture* For each  $m \geq 0$  and  $n \geq 0$  we have,  $N_S(m, n) \geq N_S(m + 1, n)$ .

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W. Y. C. Chen, K. Q. Ji and W. J. T. Zang has recently announced a proof of this conjecture, [13].

# Marked Partitions

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## Definition (Marked Partition)

A marked partition is a pair  $(\lambda, k)$  where  $\lambda$  is a partition and  $k$  is an integer identifying one of its smallest parts. If there are  $s$  smallest parts then  $k = 1, 2, \dots, s$ .

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They also proposed a challenge to define the  $\text{spt}$ -Crank in terms of ordinary partitions or marked partitions.

This challenge was accepted by Chen, Qi and Zang [12] and they found a neat combinatorial way to define the crank in terms of marked and doubly marked partitions.



# Doubly Marked Partitions

## Definition (Doubly Marked Partition)

A doubly marked partition of  $n$  is an ordinary partition  $\lambda$  of  $n$  along with two distinguished columns indexed by  $s$  and  $t$ , denoted  $(\lambda, s, t)$  where

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- 1  $1 \leq s \leq D(\lambda)$ ,
- 2  $s \leq t \leq \lambda_t$ ,
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For example  $((3, 2, 2), 1, 2)$  is a doubly marked partition, but  $((3, 2, 1), 1, 2)$  and  $((3, 2, 2), 2, 1)$  are not.

# spt-Crank

To define the spt-crank of a doubly marked partition  $(\lambda, s, t)$ , we let

$$g(\lambda, s, t) = \lambda'_s - s + 1,$$

where  $\lambda'_s$  is the number of parts in  $\lambda$  that are not less than  $s$ .

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## Definition (spt-Crank)

Let  $(\lambda, s, t)$  be a doubly marked partition, and let  $g = g(\lambda, s, t)$ . The spt-crank of  $(\lambda, s, t)$  is defined by

$$c(\lambda, s, t) = g - \lambda_g + t - s.$$

# $spt$ -Crank

The following gives a combinatorial interpretation of  $N_S(m, n)$ .



# $spt$ -Crank

The following gives a combinatorial interpretation of  $N_S(m, n)$ .

**Theorem (Chen, Qi and Zang, [12])**

*For any integer  $m$  and any integer  $n$ ,  $N_S(m, n)$  equals the number of doubly marked partitions of  $n$  with  $spt$ -crank  $m$ .*

# Partiiton bijections and $spt$ -Crank

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Employing the bijection  $\Delta$  and the spt-crank for doubly marked partitions, one can divide the set of marked partitions of  $5n + 4$  and  $7n + 5$  into five and seven equinumerous classes.

# Andrews-Dyson-Rhoades Conjecture

The following conjecture was posed by Andrews, Dyson and Rhoades [3].

## Conjecture

*For  $m \geq 0$  and  $n \geq 0$ , we have*

$$N_S(m, n) \geq N_S(m + 1, n).$$

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Dyson and Rhoades [3] found the following connection between the inequality on  $N_S(m, n)$  and the inequality on the rank and the crank.

## Theorem

Let  $N(m, n)$  denote the number of partitions of  $n$  with rank  $m$  and  $M(m, n)$  denote the number of partitions of  $n$  with crank  $m$ . Then for  $m \geq 0$  and  $n > 1$ , we have

$$N_S(m, n) - N_S(m + 1, n) = \frac{1}{2} (N_{\leq m}(n) - M_{\leq m}(n)). \quad (11)$$

We set

$$M(0, 1) = -1, \quad M(-1, 1) = M(1, 1) = 1, \quad M(m, 1) = 0,$$

and define

$$N_{\leq m}(n) = \sum_{|r| \leq m} N(r, n), \quad (12)$$

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It is clear from (11) that Conjecture 2 is equivalent to the following conjecture.

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### Conjecture

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When  $m = 0$ , inequality (14) was conjectured by Kaavya [18].

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Dyson established the connection between the number  $q(m, n)$  and the number of partitions of  $n$  with a bounded crank.

To be more specific, let  $M(\leq m, n)$  denote the number of partitions of  $n$  with crank not greater than  $m$ . Dyson obtained the following relation for  $n > 1$ ,



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Moreover, Dyson proved the following symmetries of  $N(m, n)$  and  $M(m, n)$ :

$$N(m, n) = N(-m, n), \quad (16)$$

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Using relations (15), (16) and (17), we found the following connection between  $N_{\leq m}(n) - M_{\leq m}(n)$  and  $p(-m, n) - q(m, n)$ , where  $p(-m, n)$  is the number of partitions of  $n$  with rank not less than  $-m$ :

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### Theorem

*For  $m \geq 0$  and  $n > 1$ , we have*

$$N_{\leq m}(n) - M_{\leq m}(n) = 2(p(-m, n) - q(m, n)).$$

(18)

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